

Corporate Questionnaire, for _____ (“Corporation”)

(based on Fintrac guidelines, which apply to mortgage brokers as of October 11, 2024)

Please note that anywhere in this document where the words “**Politically Exposed Person**” or “**PEP**” appears it is referring to Fintrac’s definition of either and/or both a [domestic/foreign](#) Politically Exposed Person (PEP).

Click for links to
Fintrac’s definitions

These questions are for the person acting on behalf of the corporation:

- Your name:
- Do you have the authority to share the corporation’s information for the purpose of pursuing financing?
- Do you have the authority to bind the corporation?

Documents needed:

showing proof of
filing for current year

- Current [Corporate Search and Annual Return](#) (to show ownership) ← click links to see samples
- If you are not a **director**, please send a **resolution** giving you the aforementioned authorities (transmit info for purposes of pursuing/arranging financing and allowing you to bind the corporation on such matter)
- If you are not also personally applying for financing, please fill out Concord’s [Questionnaire for Individuals](#)

These questions are for the Corporation:

- Name of Corporation:
- Does Corporation have net assets of (or greater than) \$750M?
- Does Corporation have shares traded on any stock exchange?
- Does Corporation operate [in a country](#) that is NOT a member of FATF?
- Is/was the corporation in close business with a PEP (ie. owns a corporation with a PEP, or buys/sells from/to a PEP)?
- Is corporation owned in part, or whole, by a Politically Exposed Person?
- Is/was the corporation set up for the benefit de facto of a PEP?
- Does the corporation own another corporation that was set up for the benefit de facto of a PEP?

You can use this link to
search for all countries
it operates in.
Note: Canada and USA
ARE members

By signing below, you are saying that the information above (for both the Corporation, and yourself personally) is true to the best of your knowledge.

Date

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